

# SUBUTHI FINANCE LIMITED

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## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

Name of the Company: SUBUTHI FINANCE LIMITED

Quarter ending on: 31<sup>st</sup> December 2010

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
<b>I Board of Directors</b>	49 I		
(A) Composition of Board	49(IA)	Yes	
(B) Non- executive Directors' compensation & disclosures	49(IB)	Yes	
(C) Other provisions as to Board and Committees	49(IC)	Yes	
D) Code of Conduct	49(ID)	Yes	
<b>II. Audit Committee</b>	49(II)	Yes	
(A) Qualified & Independent Audit Committee	49 (IIA)	Yes	
(B) Meeting of Audit Committee		Yes	
(C) Powers of Audit Committee 49 (IIC)	49 (IIB)	Yes	
(D) Role of Audit Committee	49 II (D)	Yes	
(E) Review of Information by Audit Committee	49(III)	Yes	
<b>III. Subsidiary Companies</b>	49(III)	NA	No Subsidiary Company
<b>IV. Disclosures</b>	49(IV)		
(A) Basis of related party transactions	49(IV A)	Yes	Disclosed to Audit committee as reported in the Annual Report
(B) Disclosure of Accounting Treatment	49(IV B)	Yes	Disclosed in the Annual Report
(C) Board Disclosures	49 (IV C)	Yes	
(D) Proceeds from public issues, rights issues, preferential issues etc.	49 (IV D)	NA	No Issues made
(E) Remuneration of Directors	49 (IV E)	Yes	Disclosed in the Annual Report
(F) Management	49 (IV F)	Yes	Disclosed in the Annual Report
(G) Shareholders	49 (IV G)	Yes	Disclosed in the Annual Report
<b>V. CEO/CFO Certification</b>	49(V)	Yes	At the time of AGM
<b>VI. Report on Corporate Governance</b>	49(VI)	Yes	At the time of AGM
<b>VII. Compliance</b>	49 (VII)	Yes	Disclosed in the Annual Report